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MORRISON A PONDETER LLE
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To:

NAME:	FACSIMILE:	TELEPHONE:
U.S. Patent and Trademark Office		
ATTN: Office of Petitions (Patents)	(571) 273-8300	(571) 272-3282

FROM:

Beverly S. Carter

DATE:

June 1, 2006

with cover page: Our Ref.: 39303-20222,00	Number of pages with cover page:	33	RE: U.S. Patent Application No. 09/758,632 Our Ref.: 39303-20222.00
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Preparer of this slip has confirmed that facsimile number given is correct:

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Comments:

RE: Request to Withdraw Abandonment, Request to Withdraw Issue and filing of RCE

Please see the attached. The original documents have been sent by express mail to MS Petitions.

IF YOU DO NOT RECEIVE ALL OF THE PAGES, PLEASE CALL BEVERLY S. CARTER AT 213 892 5267 AS SOON AS POSSIBLE.

LA-791626

JUN 0 1 2006

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbil No. EV701015062US, in an envelope addressed to: MS Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shows Delow.

Dated: June 1, 2006 Signature:

(Marco Jimenez)

Sent By Facsimile (571-273-8300)

and Express Mail

Docket No.: 393032022200

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Yoshiki NISHITANI et al.

Serial No.: 09/758,632

Filing Date: January 10, 2001

For: APPARATUS AND METHOD FOR

DETECTING PERFORMER'S MOTION TO INTERACTIVELY CONTROL PERFORMANCE OF MUSIC OR THE

LIKE

Examiner: M. Fletcher

Group Art Unit: 2837

PETITION TO WITHDRAW HOLDING OF ABANDONMENT PURSUANT TO 37 C.F.R. §§ 1.181 and 1.8(b)

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

INTRODUCTION

On April 6, 2006, the Patent Office mailed a Notice of Abandonment stating the present application was abandoned. The Notice stated the Applicants had failed to pay the issue fee and publication fee within three months of the mailing date of the Notice of Allowance. The Notice of Allowance was mailed on October 19, 2005.

LA-858272

Application No.: 10/758,632

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Docket No.: 393032022200

Applicants respectfully submit that they responded to the Notice of Allowance in a timely manner by facsimile on October 28, 2005 and that both of these fees were timely paid.

Therefore, Applicants hereby petition the Commissioner to withdraw the holding of abandonment.

BACKGROUND

The present application was filed on January 10, 2001. The application was duly prosecuted and a first Notice of Allowance was mailed on December 11, 2003. Part B (Fee Transmittal) of the Notice of Allowance indicated an issue fee in the amount of \$1,330 and a publication fee of \$300. Applicants timely submitted the first Fee Transmittal by first class mail on February 20, 2004 and therein authorized the Patent Office to charge the required fees to Deposit Account No. 03-1952. A copy of the transmitted first Fee Transmittal is attached as Exhibit A to the accompanying statement of Ms. Beverly Carter. A copy of the stamped postcard receipt that properly identifies the first Fee Transmittal is attached as Exhibit B.

On October 7, 2004, Applicants filed a petition to withdraw the present application from issuance along with an RCE and a supplemental IDS. The petition was granted on October 13, 2004.

On October 28, 2004, a second Notice of Allowance was mailed after the Examiner considered the newly submitted IDS. Part B (Fee Transmittal) of the second Notice of Allowance indicated an issue fee of \$40 and a publication fee of \$0. The \$40 fee reflected an increase in the issue fee from the previous Fee Transmittal. Because the publication fee had not increased, the second Fee Transmittal correctly indicated that no publication fee was due. Applicants submitted another supplemental IDS on January 12, 2005 and, thereafter, timely submitted the second Fee Transmittal by first class mail on January 27, 2005 authorizing the Patent Office to charge \$70 (reflecting the correct increase) to Deposit Account No. 03-1952. A copy of the transmitted second Fee Transmittal is attached as Exhibit C. A copy of the stamped postcard receipt that properly identifies the second Fee Transmittal is attached as Exhibit D.

Application No.: 10/758,632 3 Docket No.: 393032022200

On October 19, 2005, a third Notice of Allowance was mailed after the Examiner considered the second supplemental IDS submitted in January 2005. Part B (Fee Transmittal) of the third Notice of Allowance indicated an issue fee of \$0 and a publication fee of \$0. The transmittal thus correctly reflected that the Applicants had fully and timely paid the issue fee and publication fee through the submissions of the first and second Fee Transmittals. Applicants timely submitted the third Fee Transmittal by facsimile on October 28, 2005. Even though no fees were due, Applicants authorized the Patent Office to charge any required fees to Deposit Account No. 03-1952. A copy of the transmitted third Fee Transmittal along with facsimile cover sheet is attached as Exhibit E. A copy of the return receipt confirmation of facsimile transmission to the Patent Office is attached as Exhibit F.

On April 6, 2006, the Patent Office mailed a Notice of Abandonment for failure to pay the issue fee and the publication within three months of the mailing date of the third Notice of Allowance.

REQUEST TO WITHDRAW HOLDING OF ABANDONMENT

As explained above and shown in the accompanying exhibits E and F, Applicants timely submitted the third Fee Transmittal. The third Fee Transmittal set a deadline of January 19, 2006, and Applicants submitted the transmittal on October 28, 2005 via facsimile as indicated by the signed certificate of transmission. Even though no fees were due, Applicants authorized in the third Fee Transmittal the payment of any fees relating to the third Notice of Allowance.

The holding of abandonment by the Patent Office is apparently a result of the Patent Office not having evidence of receiving the third Fee Transmittal. To that end, Applicants respectfully request that the transmission of the third Fee Transmittal on October 28, 2005 be considered pursuant to 37 C.F.R. § 1.8(b).

The requirement of § 1.8(b)(1) is met, because Applicants are submitting this petition in a timely manner after receipt of the Notice of Abandonment. When Applicants submitted the third Fee Transmittal, they received the return receipt confirmation (Exhibit F). There was no reason to

Application No.: 10/758,632 4 Docket No.: 393032022200

believe that the application would be abandoned eight months later. Only after the Patent Office indicated that the application had become abandoned did the Applicants realize that the Patent Office may not have evidence of receiving the third Fee Transmittal.

The requirement of § 1.8(b)(2) is satisfied in that the accompanying statement of Ms. Carter attaches, as Exhibit E, a copy of the submitted third Fee Transmittal with the signed certificate of transmission. A copy of the returned receipt confirmation from the Patent Office is also attached as Exhibit F.

The requirement of § 1.8(b)(3) is met by the accompanying statement of Ms. Carter. Ms. Carter signed the certificate of transmission on October 28, 2005. She provided the third Fee Transmittal with the signed certificate and a facsimile cover page to the facsimile department of her employment (the law firm of Morrison & Foerster). The department transmitted the third Fee Transmittal and the cover page on October 28, 2005. A copy of the facsimile department's transmission report is attached as Exhibit G. It shows that on October 28, 2005, at 3:19 PST, a two page fax was successfully transmitted to facsimile no. (571) 273-2885 for the matter or docket number 20222, which is the matter number of the present application. The Patent Office then immediately sent a return receipt confirmation on 6:21 EST as set forth in Exhibit F.

In view of the above showing, Applicants respectfully submit that the third Fee Transmittal was submitted in a timely fashion on October 28, 2005. Thus, the application is not in fact abandoned, and Applicants respectfully request that the Patent Office withdraw the holding of abandonment.

Application No.: 10/758,632 5 Docket No.: 393032022200

It is not believed that any fees are due with this Petition. In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Director to charge the cost of such petitions and/or other fees due in connection with the filing of this document to <u>Deposit Account No. 03-1952</u> referencing docket no. 393032022200.

Dated: June 1, 2006

Respectfully submitted,

Mehran Arjomand

Registration No.: 48,231 MORRISON & FOERSTER LLP 555 West Fifth Street, Suite 3500 Los Angeles, California 90013 (213) 892-5630 I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV701015062US, in an envelope addrassed to: MS Petition, Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313-1450, on the date shows between the patents of the pat

Sent By Facsimile (571-273-8300) and Express Mail

Docket No.: 393032022200

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Yoshiki NISHITANI et al.

Serial No.: 09/758,632

Filing Date: January 10, 2001

For: APPARATUS AND METHOD FOR

DETECTING PERFORMER'S MOTION TO INTERACTIVELY CONTROL PERFORMANCE OF MUSIC OR THE

LIKE

Examiner: M. Fletcher

Group Art Unit: 2837

PETITION TO WITHDRAW APPLICATION FROM ISSUE PURSUANT TO 37 CFR 1.313 (c)

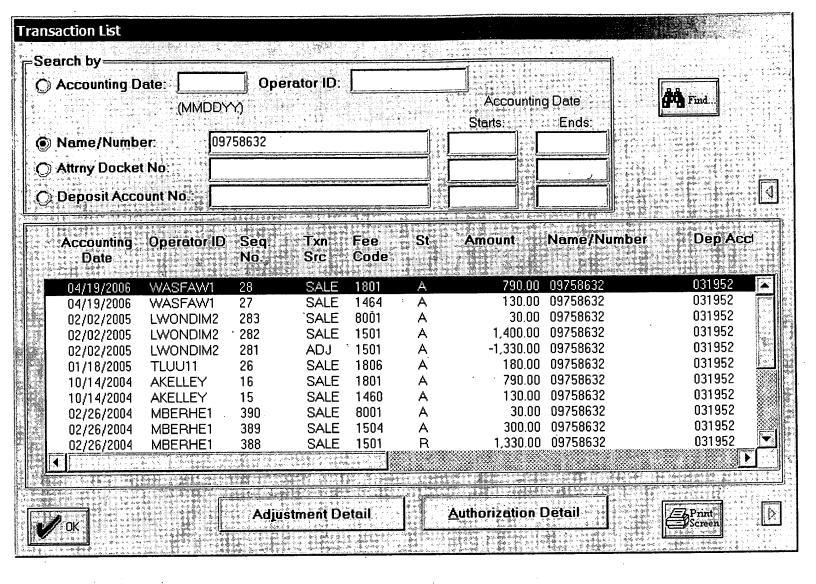
Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicants filed a petition to withdraw the present application from issuance on April 13, 2006. In a decision dated May 4, 2006, the petition was dismissed because the application was held to be abandoned for failure to take appropriate action in response to Notice of Allowance mailed on October 19, 2005. A notice of abandonment was mailed on April 6, 2006.

Applicants respectfully submit that they responded in a timely manner to the Notice of Allowance and that, in fact, the application is not abandoned. To that end, Applicants are filing

LA-861423



Application No.: 10/758,632

2

Docket No.: 393032022200

herewith a petition to withdraw the holding of abandonment pursuant to 37 C.F.R. §§ 1.181 and 1.8(b).

In the event that the petition is granted and the holding of abandonment is withdrawn, Applicants hereby renew their petition to the Commissioner to withdraw the present application from issue. Three office actions citing references were mailed in connection with related Japanese applications. Accordingly, Applicants request withdrawal from issue to file an Information Disclosure Statement (IDS) pursuant to 37 C.F.R. § 1.97 and § 1.98 with a Request for Continued Examination (RCE). The IDS and RCE are enclosed with this petition.

Please charge our Deposit Account No. 03-1952 in the amount of \$130.00 covering the fee set forth in 37 CFR 1.17(h) or (i). Fee transmittal form PTO/SB/17 is enclosed is duplicate.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Director to charge the cost of such petitions and/or other fees due in connection with the filing of this document to <u>Deposit Account</u> No. 03-1952 referencing docket no. 393032022200.

Dated: June 1, 2006

Respectfully submitted,

Mehran Ariomand

Registration No.: 48,231

MORRISON & FOERSTER LLP 555 West Fifth Street, Suite 3500

Los Angeles, California 90013

(213) 892-5630

I heraby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mall, Airbill No. EV701015052US, In an envelope addressed to: MS Petition, Commissioner for Belants P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: June 1, 2006 Signature: (Marco Jimenez)

Sent By Facsimile (571-273-8300) and Express Mail

Docket No.: 393032022200

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Yoshiki NISHITANI et al.

Serial No.: 09/758,632

Filing Date: January 10, 2001

For: APPARATUS AND METHOD FOR

DETECTING PERFORMER'S MOTION TO INTERACTIVELY CONTROL PERFORMANCE OF MUSIC OR THE

LIKE

Examiner: M. Fletcher

Group Art Unit: 2837

STATEMENT ATTESTING TO TIMELY TRANSMISSION OF FACSIMILE PURSUANT TO 37 CFR § 1.8 (b)

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

- 1. I, Beverly Carter, am a Senior Paralegal at Morrison & Foerster LLP.
- 2. I am responsible for handling the payment of the issue fee and publication fee of the present application.
- On December 11, 2003, a first Notice of Allowance was mailed. Part B (Fee
 Transmittal) of the first Notice of Allowance indicated an issue fee of \$1330 and a publication fee of

LA-858302

Application No.: 10/758,632 2 Docket No.: 393032022200

\$300. The first Fee Transmittal was signed by an attorney of record and authorized payment of any fees. I then submitted the first Fee Transmittal by first class mail to the Patent Office on February 20, 2004 along with a signed certificate of mailing. A copy of the first Fee Transmittal and the signed certificate of mailing is attached as Exhibit A. A copy of a stamped postcard receipt that properly identifies the first Fee Transmittal is attached as Exhibit B.

- 4. On October 28, 2004, a second Notice of Allowance was mailed. Part B (Fee Transmittal) of the second Notice of Allowance indicated an issue fee of \$40 and a publication fee of \$0. The second Fee Transmittal was signed by an attorney of record and authorized payment of any fees, including \$70 reflecting the correct increase in the issue fee from the previous payment. I then directed my assistant Enrique Moreno to submit the second Fee Transmittal by first class mail to the Patent Office. A copy of the second Fee Transmittal and a signed certificate of mailing by Mr. Moreno on January 27, 2005 is attached as Exhibit C. A copy of a stamped postcard receipt that properly identifies the second Fee Transmittal is attached as Exhibit D.
- 5. On October 19, 2005, a third Notice of Allowance was mailed. Part B (Fee Transmittal) of the third Notice of Allowance indicated an issue fee of \$0 and a publication fee of \$0. The third Fee Transmittal was signed by an attorney of record on October 28, 2005 and authorized payment of any fees, even though no fees were due. I then signed the certificate of transmission. A copy of the third Fee Transmittal with a signed certificate of mailing and a facsimile cover sheet are attached as Exhibit E.
- 6. On October 28, 2005, I delivered the signed third Fce Transmittal and the cover sheet to the facsimile department of Morrison & Foerster. After transmission of the third Fee Transmittal and the cover sheet, the papers were returned to me along with confirmation of transmittal. A copy of the return receipt confirmation is attached as Exhibit F. A copy of the facsimile department's Activity Report confirming both pages of this transmission were successfully transmitted to telephone number (571) 273-2885 on October 28, 2005 is attached as Exhibit G.

ta-858302

Application No.: 10/758,632 3 Docket No.: 393032022200

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated: June 1, 2006

Beverly S. Carter

Senior Paralegal

MORRISON & FOERSTER LLP 555 West Fifth Street, Suite 3500 Los Angeles, California 90013

(213) 892-5267

📆 BLUEBIRGaven com (200) 477 - 6730 💍 र १११

EXHIBIT A

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u>

(703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly numbers) with any presentions or use Block 1)

25224

7590

12/11/2003

MORRISON & FOERSTER, LLP 555 WEST FIFTH STREET **SUTTE 3500** LOS ANGELES, CA 90013-1024

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Certificate of Mailing or Transmission
I hereby certify that this Fee(s) Transmittal is being deposited with the United
States Postal Service with sufficient postage for first class mail in an envelope
addressed to the Mail Stop ISSUE FEE address above, or being facsimile
transmitted to the USPTO, on the date indicated below.

Beverly S, Carter loen Veam (Signature) February 20, 2004 (Date)

		· · · · · · · · · · · · · · · · · · ·		
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/758,632	01/10/2001	Yoshiki Nishitani	39303.20222.00	9558

TITLE OF INVENTION: APPARATUS AND METHOD FOR DETECTING PERFORMER'S MOTION TO INTERACTIVELY CONTROL PERFORMANCE OF MUSIC OR THE LIKE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	ОИ	\$1330	\$300	\$1630	03/11/2004
EXA	MINER	ART UNIT	CLASS-SUBCLASS]	
FLETCHER	, MARLON T	2837	084-615000	•	•
I. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. Change of correspondence address for Change of Correspondence Address form PTO/SB/122) attached. Change of correspondence address for Correspondence Address form PTO/SB/47; Rev 03-02 or more recept) attached. Use of a Customer Number is required.			For printing on the patent front page ness of up to 3 registered patent ints OR, alternatively, (2) the name in (having as a member a registered smt) and the names of up to 2 registered princys or agents. If no name is listed the printed,	attorneys or 1 MORRIS of a single l attorney or 2	ON & FOEPSTER

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignce is identified below, no assignce data will appear on the patent, Inclusion of assignce data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filling an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY)

YAMAHA CORPORATION

Hamamatsu-shi, JAPAN

Please check the appropriate assignee category or cate	gories (will not be printed on the patent); individual
4a. The following fee(s) are enclosed:	4b. Payment of Fcc(s):
Sauc Fee	☐ A check in the amount of the fee(s) is enclosed.
Tublication Fee Advance Order - # of Copies	Desyment by credit card. Form PTO-2038 is attached. The Director is bereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 3-1252 (enclose an extra copy of this form).
Director for Patents is requested to apply the Issue For	and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. (Date)

02/20/2004 David L. Fehrman, Reg. No. 28,600

NOTE; The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignce or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application fona to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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TRANSMIT THIS FORM WITH FEE(S)

EXHIBIT B

ttomey Docket: 39303-20222.00 Date: February 20, 2004
inventor(s): Yoshiki NISHITANI, et al. USSN: 09/758,632Title: APPARATUS AND METHOD FOR DETECTING PERFORMER'S MOTION TO
INTERACTIVELY CONTROL PERFORMANCE OF MUSIC OR THE LIKE

Due Date: March 11, 2004

Enclosed are the following:

 Part B- Fee(s) Transmittal Form PTOL 85 (in duplicate- with authorization to charge Deposit Account for issue fee (\$1330.00), publication fee (\$300.00), and soft copies (\$30.00));

2. THIS RETURN RECEIPT POSTCARD.

DLF/bsc-la

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

RECEIVED BY THE UNITED STATES PATENT AND TRADEMARKED FICE

Exhibit C

2017 06/01/2006 15:56 FAX 2138925454 MORRISON & FOERSTER # 7 Complete and send this form, together wit plicable fee(s), to: Mail Mail Stop ISSU TE. Commissioner for atents P.O. Box 1450 Alexandria, Virginia 22313-1450 (703) 746-4000 or Fax INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed wh appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address indicated unless corrected below or directed otherwise in Block I, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" maintenance fee notifications. CURRENT CORRESPONDENCE ADDRESS (Note: Use Block I for any change of address) Note: A certificate of mailing can only be used for domestic mailings of Fee(s) Transmittal. This certificate cannot be used for any other accompany papers. Each additional paper, such as an assignment or formal drawing, make its own certificate of mailing or transmission. 25224 10/28/2004 MORRISON & FOERSTER, LLP Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the Un
Status Postal Service with sufficient postage for first class mail in an cavel
addressed to the Mail Stop ISSUE FEE address above, or being faceim
transmitted to the USPTO (703) 746-4000, on the date indicated below. 555 WEST FIFTH STREET **SUITE 3500** LOS ANGELES, CA 90013-1024 Enrique Moremo (Depositor's na honew (Signac January 27 2005 മ APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 09/758,632 01/10/2001 Yoshiki Nishitani 39303.20222.00 9558 TITLE OF INVENTION: APPARATUS AND METHOD FOR DETECTING PERFORMER'S MOTION TO INTERACTIVELY CONTROL PERFORMANCE OF MU OR THE LIKE APPLN. TYPE SMALL ENTITY ISSUE PEE PUBLICATION FEE TOTAL FEE(5) DUE DATE DUE Egoigivovigional NO \$70 340 \$0 340 - \$70 01/28/2005 EXAMINER ART UNIT CLASS-SUBCLASS FLETCHER, MARLON T 2837 084-615000 Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list Morrison & Foerster, L Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. (I) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered altomey or agent) and the names of up to 2 registered patent attomeys or agents. If no name is listed, no name will be printed. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) YAMAHA CORPORATION Hamamatsu-shi, JAPAN Please check the appropriate assignee category or categories (will not be printed on the patent) ; 🚨 Individual 🚨 Corporation or other private group entity 🚨 Governm 4a. The following fee(s) are enclosed: 4b. Payment of Fee(s): lssue Fee A check in the amount of the fee(s) is enclosed. D Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. Advance Order - # of Copies ____ The Director is hereby authorized by charge the required fee(s), or credit any overpayment Deposit Account Number 03-1952 (enclose an extra copy of this form). _10_ 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status, See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to recapply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent: or the assignee or other part interest as shown by the records of the United States Patent and Trademark Office.

Registration No. ___28.600 This collection of information is required by 37 CFR 1311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to proc an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete the process of the complete that the public which is to file (and by the USPTO to process, preparing, this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer. U.S. Patent and Trademark Office. U.S. Department of Commerce. P Box 1450. Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 14

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PTOL 85 (Rev 09/04) Approved for use through 04/30/2007

Typed or printed name David L. Fehrman

Authorized Signature

OMB 0651 nn33

Date January 27, 2005

EXHIBIT D

Attorney Docket: 39303-20222,00 Inventor(s): Yoshiki NISHITAN) et al.

Date: January 27, 2005 USSN: 09/758,632

LF/em-la

Title: APPARATUS AND METHOD FOR DETECTING PERFORMER'S MOTION TO INTERACTIVELY CONTROL PERFORMANCE OF MUSIC OR THE LIKE

Due Date: January 28, 2005

Enclosed are the following:

1. Part B- Fee(s) Transmittal Form PTOL 85 (in duplicate- with authorization to charge Deposit Account for issee tee (\$70.00), and and soft copies (\$30.00);

2. THIS RETURN RECEIPT POSTCARD.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

RECEIVED BY THE UNITED STATES PATENT AND IN DEPART OFFICE

EXHIBIT E

(Depositor's rune

(Signatur

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06/01/2006 15:56 FAX 2138925454 MORRISON & FOERSTER # 7 Complete and send this form, together with plicable fee(s), to: <u>Mail</u> Mail Stop ISSU $\nabla \mathbf{F}$ Commissioner 1. .atents P.O. Box 1450 Alexandria, Virginia 22313-1450 or Fax (571) 273-2885 INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address, and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 25224 7590 10/19/2005 MORRISON & FOERSTER, LLP Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient poetage for first class mail in an eswelope addressed to the Mail-Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. 555 WEST FIFTH STREET **SUITE 3500** LOS ANGELES, CA 90013-1024 Beverly S. Carter Care October 28, 2005 APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 09/758,632 01/10/2001 Yoshiki Nishitani · 39303.20222.00 TITLE OF INVENTION: APPARATUS AND METHOD FOR DETECTING PERFORMER'S MOTION TO INTERACTIVELY CONTROL PERFORMANCE OF MUSIC OR THE LIKE APPLN. TYPE SMALL ENTITY ISSUE FEE PUBLICATION FEE TOTAL FEE(S) DUE DATE DUE nonprovisional NO 50 \$0 \$0 01/19/2006 EXAMINER ART UNIT CLASS-SUBCLASS FLETCHER, MARLON T 2837 084-615000 Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list MORRISON & FOERSTER LLP the names of up to 3 registered patent anomeys or agents OR, alternatively, ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SE/122) attached. (2) the name of a single firm (having as a momber a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) Yamaha Corporation Hamamatsu-shi, JAPAN Please check the appropriate assignce category or categories (will not be printed on the patent): 🔲 Individual 🖾 Corporation or other private group entity 🔘 Government 4a. The following fee(s) are enclosed: 4b. Payment of Fcc(s): Issue Fee A check in the amount of the fee(s) is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. Advance Order - # of Copies All The Director is hereby authorized by charge the required fec(s), or credit any overpayment, to Deposit Account Number 03-1952 (encluse an extra copy of this form). 5. Change in Entity Status (from status indicated above)

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Potent and Trademark Office.

Authorized Signature

Date October 28, 2005

28,600 Registration No.

□ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2)

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Parent and Trademark Office, U.S. Department of Commerce, P.O. Alexandria, Virginia 22313-1450. DO NOT SEND FRES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450.

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PIO1.-85 (Rev. 07/05) Approved for use through 04/30/2007.

Typed or printed name David I. Fehrman

a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

OMB 0651-0033 U.S. Patent and Trademark Office, 11 S. DEPARTMENT OF COMMERCE MORRISON

FOEKSTER

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TELEPHONE: 213.892.5200-FACSIMILE: 213.892.5454

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FROM:

Beverly S. Carter

DATE:

October 28, 2005

Number of pages	2	RE: U.S. Patent Application No. 09/758,632
with cover page:		Our Ref.: 39303-20222.00

Preparer of this slip has confirmed that facsimile number given is correct:

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Request	Application Alice	COMPANIE TO STATE OF THE CONTROL OF				
For	Application Number	09/758,632				
Continued Examination (RCE)	Filing Date	January 10, 2001				
Transmittal	First Named Inventor	Yoshiki NISHITANI				
MS Pelition Commissioner for Patents	Art Unit	2837				
P.O. Box 1450 Alexandria, VA 22313-1450	Examiner Name	M. Fletcher				
7						
Attorney Docket No. 393032022200 This is a Request for Continued Examination (RCE) under 17 CER 1144 et a. Docket No. 393032022200						
This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application.						
Submission required under 37 CFR 1.114 Note: If the amendments enclosed with the RCE will be entered in the ord	e RCE is proper, any previous	w find unertored amond most and				
amendments enclosed with the RCE will be entered in the ord applicant does not wish to have any previously filled unentered amendment(s).	fer in which they were flied un d amendment(s) entered, app	less applicant instructs otherwise. If irant must request non-entry of such				
a. Previously submitted. If a final Office action is may be considered as a submission even if the	outstanding, any amendn is box is not checked,	ents filed after the final Office action				
i. Consider the arguments in the Appeal Brief	or Reply Brief previously f	led on				
ii. Other						
b. x Enclosed						
i. Amendment/Reply iii. X Information Disclosure Statement (IDS)						
ii. Affidavit(s)/Declaration(s) iv. X Other Petition to Withdraw from Issuance						
2. Miscellaneous						
a. Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a						
period of months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)						
b. Other						
3. Fees The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.						
a. X The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 03-1952						
i. X RCE fee required under 37 CFR 1.17(e)						
ii. Extension of time fee (37 CFR 1.136 and 1.17)						
iii. X Other Petition Fee (to withdraw from	n issue)					
b. Check in the amount of \$enclosed						
c. Payment by credit card (Form PTO-2038 enclosed)						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED						
Name (Print/Type) Mehran Arjomand	Registration No. (Attorne	y/Agent) 48,231				
Signature MQ	Date J	une 1, 2006				
I hereby certify that this correspondence is being deposited with the in an envelope addressed to: MS Patillon Commissions for Patillon	p I S Postal Sandan an Suc	Man Man Man Man Ci Channa				
in an envelope addressed to: MS Petition, Commissioner for Pate (571) 273-8300 on the date shown below.	ints, P.O. Box 1450, Alexandri	a. VA 22313-1450, and by facsimile to				
Dated: June 1, 2006 Signature:	(Магсо	Jimenez)				

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PETITION FEE	Application Number	09/758,632
Under 37 CFR 1.17(f), (g) & (h) TRANSMITTAL	Filing Date	January 10, 2001
(Fees are subject to annual revision)	First Named Inventor	Yashiki NISHITANI
Send completed form to: MS Petition	Art Unit	2837
Commissioner for Palents P.O. Box 1450 Alexandria, VA 22313-1450	Examiner Name	M. T. Fletcher
Alexandria, VA 22313-1450	Attorney Docket Number	393032022200

Enclosed is a petition filed under 37 CFR 1.313		
The Commissioner is hereby authorized to charge the following fees to Deposit Account No. 03-1952 X Petition fee under 37 CFR 1.17(f), (g) or (h) X Any deficiency of fees and credit of any overpayments Enclose a duplicative copy of this form for fee processing. Check in the amount of \$ is enclosed. Payment by credit card (Form PTC-2038 or equivalent enclosed). Do not provide credit card information on this form. Petition Fees under 37 CFR 1.17(f): Fee \$400 Fee Code 1452 For petitions filed under \$1.53(e) to accord a filing date. \$1.57(e) to accord a filing date. \$1.57(e) to accord a filing date. \$1.57(e) to accord a filing date. \$1.37(e) to accord a filing date to an application not specifically provided for. \$1.17(e) to accord a filing date to an application refusing to accept delayed payment of maintenance fee in an expired patent, \$1.37(e) to accord a filing date to an application under \$1.740 for extension of a patient term.	(g), or (h)). Payment of \$ 130.00 is enclosed. This form should be included with the above-mentioned petition and faxed or mailed to the	Office using the appropriate Mail Stop
Enclose a duplicative copy of this form for fee processing. Check in the amount of \$ is enclosed. Payment by credit card (Form PTO-2038 or equivalent enclosed). Do not provide credit card information on this form. Petition Fees under 37 CFR 1.17(f): Fee \$400 Fee Code 1452 For petitions field under. \$ 1.53(e) — to account a fitting date. \$ 1.57(e) — to account a fitting date. \$ 1.102 — for dockion on a question not specifically provided for. \$ 1.102 — for dockion on a question not expecifically provided for. \$ 1.102 — for dockion on a question not expecifically provided for. \$ 1.102 — for dockion on a question not expecifically provided for. \$ 1.102 — for dockion on a question not expecifically provided for. \$ 1.102 — for dockion on a question not expecifically provided for. \$ 1.102 — for consideration of decision on petition relivating to accept delayed payment of maintenance fee in an expired patient, \$ 1.102 — for dockion on a system under \$ 1.740 for extension of a patient term. Petition Fees under 37 CFR 1.17(g): Fee \$200 Fee Code 1463 For petitions filed under: \$ 1.12 — for access to an assignment record. \$ 1.14 — for access to an assignment record. \$ 1.15 — for access to an application. \$ 1.103(a) — to suspend action in an application. \$ 1.103(a) — to suspend action in an application. \$ 1.103(a) — to suspend action in an application. \$ 1.103(a) — to suspend action in an application. \$ 1.103(a) — to suspend action in an application of situation of situation of a patient in the date the notice of intent to publish issued. \$ 1.205 — for review of refusal to publish a stantage in understanding the formation of a patient, \$ 1.206 — to indirate a request for extension of the limit in experiment examination proceedings. \$ 1.505 — for review of decision refusion of a stantage in ordinary invarion registration field on or after the date the notice of intent to publish issued. \$ 1.206 — for intent of a formation of a stantage in the intent proceedings. \$ 1.505 — for review of refused in a form other than that	Payment of Fees (small entity amounts are NOT available for the petition fees).	
Check in the amount of \$ is enclosed. Payment by credit card (Form PTO-2038 or equivalent enclosed). Do not provide credit card information on this form. Petition Fees under 37 CFR 1.17(f): Fee \$400 Fee Code 1452 For petitions filed under. \$1.57(a) = to accord a filing date. \$1.57(a) = to accord a filing date. \$1.187(a) = to accord a filing date. \$1.189 = to suspend the rules. \$1.189 = to suspend the rules. \$1.174(b) = for accordiate and or decision on petition refusing to accept delayed payment of maintenance fee in an expired patent. \$1.741(b) = to accord a filing date to an application under \$1.740 for extension of a patent term. Petition Fees under 37 CFR 1.17(g): Fee \$200 Fee Code 1463 For petitions filed under. \$1.12 = for access to an asplication. \$1.13 = for access to an asplication. \$1.14 = for access to an application. \$1.14 = for access to an application. \$1.15 = for petitions filed under. \$1.12 = for access to an application. \$1.13 = for filing by other than all the inventors or a person not the inventors. \$1.13 = for petitions filed under. \$1.13 = for filing by other than all the inventors or a person not the inventors. \$1.13 = for petitions filed under. \$1.13 = for review of release to publish a statutory inventions registration. \$1.13 = for review of release to publish a statutory invention registration. \$1.13 = for review of redestant returns an office of publish for statution of a statutery invention registration. \$1.13 = for review of redestant returns for publish a statutory fivention filed on or alter the date the notice of intent to publish the statutory fivention filed on or alter the date the notice of inten	Petition fee under 37 CFR 1.17(f), (g) or (h) x Any deficiency of	Account No. 03-1952 : fees and credit of any overpayments
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Typed or printed name Registration No., if applicable	Mehran Arjomand	48,231
	Typed or printed name Regis	tration No., if applicable

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV701015062US, in an envelope addressed to: MS Petition, Commissioner for Patents. P.O. Box 1450, Alexandria, VA 22313-1450, and by facsimile to (571) 273-8300 on the date shown below.

Dated: June 1, 200\$

Signature; (Marco Jimenez)

Under ()	he Paperwork Reduction A	Act of 1985. No necess	n are recui	U.S.	. Patent and	Approved for use Trademark Office; L	Chrough 7/31/200	TO/SB/17 (12-0 06, OMB 06514 NT OF COMME
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Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).			Application Nu		09/758,632			
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	For FY 20			First Named In	ventor	Yoshiki NISH		-
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SUBMITTED BY								==
Signature	M(I))		Registration No.	48,231	Telephone	(213) 892-	5630
Name (Print/Type)	Mehran Ariomand					Date		
	Mehran Arjomand)		(Attamey/Agent)	48,231	Telephone Date	(213) 892-{ June 1, 20	

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- Annies				Examiner Name		M. T. Fletcher	r	
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	Specification, \$130							
Other (e.g.,	late filing surcharge):	1801 Request	for conti	inued examinat	ion (RCI	E) (see <u>37</u>	790.	00
SUBMITTED BY								
Signature	MW.)		Registration No. (Attorney/Agent)	48,231	Telephone	(213) 892-	5630
Name (Print/Type)	Mehran Arjomand				<u> </u>	Date	June 1 20	

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Express Mail Label No. EV701015062US
Patent
Docket No. 393032022200

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Yoshiki NISHITANI et al.

Serial No.: 09/758,632

Filing Date: January 10, 2001

For: APPARATUS AND METHOD FOR

DETECTING PERFORMER'S MOTION TO INTERACTIVELY CONTROL PERFORMANCE OF MUSIC OR THE

LIKE

Examiner: M. T. Fletcher

Group Art Unit: 2837

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. Copies of the foreign documents are also submitted herewith. The Examiner is requested to make these documents of record.

The documents listed on the attached Form PTO/SB/08a/b were cited in three Japanese office actions (English and Japanese copies enclosed), directed to counterpart foreign applications and have not been previously cited.

Ja-8583 I I

This Information Disclosure Statement is submitted:

With the RCE application; accordingly, no fee or separate requirements are required.

Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Director to charge the cost of such petition and/or other fees due in connection with the filing of this document to <u>Deposit Account No. 03-1952</u> referencing 393032022200.

Dated: June 1, 2006

Respectfully submitted.

Mehran Arjomand

Registration No.: 48,231 MORRISON & FOERSTER LLP

555 West Fifth Street

Los Angeles, California 90013-1024

(213) 892-5630

ALTERNATIVE TO PTO/SB/08a/b (07-05)

Substitute for form 1449/PTO INFORMATION DISCLOSURE				Complete if Known			
				Application Number	09/758,632		
			CLOSURE	Filing Dale	January 10, 2001		
S	STATEMENT BY APPLICANT			First Named Inventor	Yoshiki NISHITANI		
				Art Unii	2837		
(Use as many sheets as necessary)		Examinar Name	M. T. Fletcher				
Sheet	11	of	1	Altorney Docket Number	393032022200		

	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (It known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Occument	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
<u> </u>	1.	US-4,980,519	12-25-1990	Mathews				
	2	US-5,406,300	04-11-1995	Tokimoto et al.				

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Examiner	Cite	Foreign Patent Document	Publication	Name of Colores	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	П
Initials*	No.1	Country Code ³ -Number ⁴ -Kind Code ⁵ (If known)	MM-DD-YYYY			7
_	3.	JP-03-81999	08-21-1991	Casio Keisanki Kabushiki Kaisha	partial translation	7
	4.	JP-4-184490	07-01-1992	Yamaha Corporation		17
	5.	JP-6-67616	03-11-1994		corres. to No. 2	Ť
	6.	JP-6-161449	06-07-1994	Leland Stanford Jr. University	corres. to No. 1	Γ
_	7.		11-14-1995	Yamaha Corporation	with English abstract	
	8.	JP-8-16118	01-19-1996	Sekisui Chemical Co Ltd	with English translation	
	9.	JP-9-127937	05-16-1997	Yamaha Corporation	O LINGUIGHT	7
	10.	JP-10-261035		Hitachi Ltd.		H

"EXAMINER: Initial 8 information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant, "Applicant's unique citation designation number (optional). "See Kinds Codes of USPTO Patent Documents at www.usplo.gg or MPEP 901.04. "Enter Office that issued the document, by the two-latter code (WIPO Standard ST.3). "For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document." Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. "Applicant is to place a check mark here if English language

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, sympostum, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Tº

TEXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

'Applicant's unique citation designation number (optional). 'Applicant is to pisce a check mark here if English language Translation is attached.

Carra mail			
Examiner		Date	
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